

18 NCAC 06A .1507 IMPLICATIONS OF REGISTRATION OR EXEMPTION

The filing of an application for registration, the fact an application has been filed, or the fact a security is effectively registered does not constitute a finding that any document filed under this Chapter is true, complete and not misleading. The fact an exemption is available for a security does not mean the administrator has passed upon the merits of the claim, or recommended or given approval to any person, security or transaction.

History Note: *Authority G.S. 78A-10(a); 78A-49(a);
Eff. April 1, 1981;
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December 6,
2016.*